Grantee Introductions
Overview of the Federal Charter Schools Program

Charter Schools Program
Office of Innovation and Improvement
AGENDA

– OII Updates
– CSP Budget Overview
– Audit Updates
– Regulation, Policy, and Guidance
OII WEBSITE UPDATES


• Working to update each award page with applications, funding amounts, technical review forms, and peer review scores.

• Backdating information to 2009.
CSP GRANT PROGRAMS

- State Education Agency Grants (SEA)
- Non-State Education Agency Grants (Non-SEA)
- Replication and Expansion Grants (CMO)
- National Leadership Grants
- Exemplary Charter School Collaboration Awards
- Credit Enhancement for Charter School Facilities Grants
- State Facilities Incentive Grants
CHARTER SCHOOLS PROGRAM (CSP) STAFF

CSP Program Director: Stefan Huh
CSP Program Manager: Erin Pfeltz

State Education Agency Grants (SEA):
Kate Meeley
Leslie Hankerson

Non-State Education Agency Grants (Non-SEA):
Brian Martin
LaShawndra Thornton

Replication and Expansion Grants (CMO):
Erin Pfeltz
Brian Martin
Kate Meeley

National Leadership Grants:
Patricia Kilby-Robb (2010 Grantees)
Brian Martin (2014 Rulemaking)

Exemplary Charter School Collaboration Awards:
Soumya Sathya

Credit Enhancement for Charter School Facilities Grants:
Kristin Lundholm

State Facilities Incentive Grants:
Kristin Lundholm

Administrative Support:
Cheryl Weeks
MISSION

To support the creation, expansion, and improvement of high-quality charter schools across the nation.
The Charter Schools Program:
Budget Overview
CSP PROGRAM OBLIGATIONS
(FY11-FY13)

Total Obligations for FY11-FY13
Total Budget $752,061,620

- CMO: $551,010,700 (73%)
- Facilities: $85,200,281 (11%)
- National Activities: $25,359,630 (4%)
- Non-SEA: $21,024,022 (3%)
- Reviewer: $69,071,672 (9%)
- SEA: $25,359,630 (4%)
- Other: $395,315 (0%)

10
CSP FY14 FUNDING: $248,172,000.00

CSP FY14 Budget

- CMO: $144,653,280, 58%
- Facilities: $60,110,893, 24%
- National Activities: $23,000,000, 9%
- Non-SEA: $11,000,000, 5%
- Reviewer: $9,207,827, 4%
- SEA: $200,000, 0%
# ACTIVE SEA CSP GRANTEES

<table>
<thead>
<tr>
<th></th>
<th>State</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Arizona</td>
</tr>
<tr>
<td>2</td>
<td>Arkansas</td>
</tr>
<tr>
<td>3</td>
<td>California</td>
</tr>
<tr>
<td>4</td>
<td>Colorado</td>
</tr>
<tr>
<td>5</td>
<td>District of Columbia</td>
</tr>
<tr>
<td>6</td>
<td>Florida</td>
</tr>
<tr>
<td>7</td>
<td>Georgia</td>
</tr>
<tr>
<td>8</td>
<td>Indiana</td>
</tr>
<tr>
<td>9</td>
<td>Louisiana</td>
</tr>
<tr>
<td>10</td>
<td>Massachusetts</td>
</tr>
<tr>
<td>11</td>
<td>Michigan</td>
</tr>
<tr>
<td>12</td>
<td>Minnesota</td>
</tr>
<tr>
<td>13</td>
<td>Missouri</td>
</tr>
<tr>
<td>14</td>
<td>New Hampshire</td>
</tr>
<tr>
<td>15</td>
<td>New Jersey</td>
</tr>
<tr>
<td>16</td>
<td>New Mexico</td>
</tr>
<tr>
<td>17</td>
<td>New York</td>
</tr>
<tr>
<td>18</td>
<td>Rhode Island</td>
</tr>
<tr>
<td>19</td>
<td>South Carolina</td>
</tr>
<tr>
<td>20</td>
<td>Tennessee</td>
</tr>
<tr>
<td>21</td>
<td>Texas</td>
</tr>
<tr>
<td>22</td>
<td>Wisconsin</td>
</tr>
</tbody>
</table>
NON-SEA OBLIGATIONS BY STATE

Non-SEA Obligations by State

- AK: $200,000
- AZ: $432,163
- CT: $200,000
- DE: $1,357,675
- HI: $432,163
- ID: $1,546,602
- IL: $1,143,658
- IN: $800,000
- IA: $1,000,000
- MD: $1,143,658
- ME: $1,200,000
- MO: $1,400,000
- NC: $1,600,000
- NE: $1,800,000
- NH: $1,800,000
- NJ: $1,800,000
- NV: $1,800,000
- OH: $1,800,000
- OK: $1,800,000
- OR: $1,800,000
- PA: $1,800,000
- RI: $1,800,000
- UT: $1,800,000
- VA: $1,800,000
- WY: $1,800,000

2012 vs 2013
ALL CSP OBLIGATIONS BY STATE
FY12 AND FY13

All CSP Obligations by State
(FY12 and FY13)

States with the most grants: CA-7, IL-18, NJ-11, NY-6, OH-6, and PA-15

- CA: $91,533,289
- DE: $26,147,368
- IL: $52,798,793
- NJ: $13,391,815
- NY: $66,772,098
- OH: $37,222,000
- PA: $18,512,134

Other states with obligations:
- AK, CT, CT, GA, ID, IN, MA, ME, MN, NC, NJ, NV, OH, OR, RI, TN, UT, WI, CT

Total obligations range from $0 to $100,000,000.
SEA GRANTS AWARDED

Number of SEA Awarded
Number of SEA Not Awarded

<table>
<thead>
<tr>
<th>Year</th>
<th>SEA Awarded</th>
<th>SEA Not Awarded</th>
</tr>
</thead>
<tbody>
<tr>
<td>2005</td>
<td>10</td>
<td>3</td>
</tr>
<tr>
<td>2006</td>
<td>9</td>
<td>4</td>
</tr>
<tr>
<td>2007</td>
<td>10</td>
<td>4</td>
</tr>
<tr>
<td>2008</td>
<td>5</td>
<td>5</td>
</tr>
<tr>
<td>2009</td>
<td>5</td>
<td>5</td>
</tr>
<tr>
<td>2010</td>
<td>12</td>
<td>7</td>
</tr>
<tr>
<td>2011</td>
<td>2</td>
<td>12</td>
</tr>
<tr>
<td>2012</td>
<td>3</td>
<td>9</td>
</tr>
</tbody>
</table>
CONTINUATION FUNDING

• Continuation funding (as stated in EDGAR) is contingent upon having sufficient funds appropriated from Congress.

• Historically, departmental policy has been to prioritize continuations before awarding new grants or supplements.
  
  • As done in the past few years, we will continue to scrutinize grant activities and performance carefully to determine whether original continuation requests match current needs so that we direct CSP funding appropriately and effectively.
<table>
<thead>
<tr>
<th>SEA</th>
<th>FY11</th>
<th>FY12</th>
<th>FY13</th>
<th>FY14</th>
<th>FY15</th>
<th>FY16</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wisconsin</td>
<td>$2,582,282</td>
<td>$18,573,500</td>
<td>$18,648,500</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Arizona</td>
<td>$6,377,894</td>
<td>$19,316,919</td>
<td>$6,830,449</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>New Mexico</td>
<td>$2,555,030</td>
<td>$2,000,000</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Tennessee</td>
<td>$6,690,790</td>
<td>$6,398,320</td>
<td>$2,623,611</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Louisiana</td>
<td>$5,832,186</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Wisconsin</td>
<td>$24,038,182</td>
<td>$46,288,739</td>
<td>$28,102,560</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Arizona</td>
<td>$2,947,300</td>
<td>$1,372,212</td>
<td>$2,789,811</td>
<td>$2,445,077</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>New Mexico</td>
<td>$5,218,999</td>
<td>$3,376,237</td>
<td>$1,821,955</td>
<td>$3,503,992</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Tennessee</td>
<td>$4,760,574</td>
<td>$8,924,191</td>
<td>$4,718,422</td>
<td>$8,836,191</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Louisiana</td>
<td>$21,271</td>
<td>$1</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Rhode Island</td>
<td>$4,372,998</td>
<td>$22,255,009</td>
<td>$45,678,058</td>
<td>$63,271,073</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>California</td>
<td>$6,806,353</td>
<td>$5,047,293</td>
<td>$13,958,281</td>
<td>$6,539,877</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Colorado</td>
<td>$3,924,171</td>
<td>$855,330</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Missouri</td>
<td>$3,465,821</td>
<td>$2,589,949</td>
<td>$5,252,726</td>
<td>$5,255,675</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>South Carolina</td>
<td>$4,497,470</td>
<td>$3,889,710</td>
<td>$2,179,879</td>
<td>$5,522,353</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>DC</td>
<td>$21,420,201</td>
<td>$31,605,572</td>
<td>$21,193,221</td>
<td>$10,024,730</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Florida</td>
<td>$28,259,451</td>
<td>$33,418,655</td>
<td>$21,890,449</td>
<td>$10,641,079</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>New York</td>
<td>$116,139,635</td>
<td>$55,128,742</td>
<td>$92,282,702</td>
<td>$111,862,356</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Massachusetts</td>
<td>$49,679,652</td>
<td>$65,024,227</td>
<td>$43,083,670</td>
<td>$20,665,809</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>New Jersey</td>
<td>$5,779,506</td>
<td>$4,875,353</td>
<td>$3,534,341</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Minnesota</td>
<td>$6,543,056</td>
<td>$2,719,718</td>
<td>$5,209,616</td>
<td>$</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Nevada</td>
<td>$5,069,289</td>
<td>$6,255,669</td>
<td>$3,381,158</td>
<td>$6,762,316</td>
<td>$</td>
<td>$</td>
</tr>
<tr>
<td>Total</td>
<td>$189,857,469</td>
<td>$183,833,559</td>
<td>$177,319,672</td>
<td>$144,653,280</td>
<td>$45,953,735</td>
<td>$6,762,316</td>
</tr>
</tbody>
</table>

Table includes supplements in FY12 & FY13
BUDGET FOR CSP
FY2009-FY2016

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>SEA New Awards</td>
<td>$81,792,972</td>
<td>$136,181,260</td>
<td>$49,679,652</td>
<td>$9,708,214</td>
<td>-</td>
<td>-</td>
<td>$109,668,721</td>
<td>$66,958,144</td>
</tr>
<tr>
<td>SEA Continuations</td>
<td>$103,043,062</td>
<td>$29,931,735</td>
<td>$140,177,817</td>
<td>$174,125,345</td>
<td>$177,319,672</td>
<td>$144,653,280</td>
<td>$45,953,735</td>
<td>$89,013,857</td>
</tr>
<tr>
<td>Total for SEA</td>
<td>$184,836,034</td>
<td>$166,112,995</td>
<td>$189,857,469</td>
<td>$183,833,559</td>
<td>$177,319,672</td>
<td>$144,653,280</td>
<td>$155,622,456</td>
<td>$155,972,001</td>
</tr>
<tr>
<td>CMO</td>
<td>-</td>
<td>$6,755,144</td>
<td>$25,000,000</td>
<td>$31,070,232</td>
<td>$29,130,049</td>
<td>$60,110,893</td>
<td>$50,000,000</td>
<td>$50,000,000</td>
</tr>
<tr>
<td>Non-SEA</td>
<td>$4,910,909</td>
<td>$50,000,000</td>
<td>$7,447,331</td>
<td>$6,919,706</td>
<td>$6,656,985</td>
<td>$9,207,827</td>
<td>$8,349,544</td>
<td>$8,000,000</td>
</tr>
<tr>
<td>Facilities</td>
<td>$21,031,000</td>
<td>$23,082,000</td>
<td>$23,035,836</td>
<td>$23,035,836</td>
<td>$23,000,000</td>
<td>$23,000,000</td>
<td>$23,000,000</td>
<td>$23,000,000</td>
</tr>
<tr>
<td>Reviewer</td>
<td>$75,736</td>
<td>$80,861</td>
<td>$198,302</td>
<td>$149,886</td>
<td>$47,127</td>
<td>$200,000</td>
<td>$200,000</td>
<td>$200,000</td>
</tr>
<tr>
<td>National Activities</td>
<td>$5,327,321</td>
<td>$10,000,000</td>
<td>$9,980,000</td>
<td>$10,026,850</td>
<td>$5,352,780</td>
<td>$11,000,000</td>
<td>$11,000,000</td>
<td>$11,000,000</td>
</tr>
<tr>
<td>Total</td>
<td>$216,181,000</td>
<td>$256,031,000</td>
<td>$255,518,938</td>
<td>$255,036,069</td>
<td>$241,506,613</td>
<td>$248,172,000</td>
<td>$248,172,000</td>
<td>$248,172,000</td>
</tr>
</tbody>
</table>

*FY14 funding amounts are not finalized

**Projects are based on estimated continuation awards and flat funding
CSP COMPETITIONS 2014

Anticipated Competitions:

- Non–SEA: Not yet announced
- Replication and Expansion: Not yet announced
- National Leadership
- State Facilities Incentive: Not yet announced
- Credit Enhancement
  - Closing date: March 3, 2014
NEW AWARDS

New awards funding given when...

• The grantee has met the conditions of previous awards [75.217(s)(3)(iii)].

• The grantee’s management practices and financial accounting systems are adequate to provide appropriate stewardship of federal funds.

• Section 75.253(c)(3) of EDGAR states that in determining the amount of new funds to make available to a grantee, the Secretary considers whether the unobligated funds made available are needed to complete activities that were planned for completion in the prior budget period.
Audit Updates
ACCESSING THE REPORTS

OII’s Oversight and Monitoring of the CSP’s Planning and Implementation Grants (Office of the Inspector General)
http://www2.ed.gov/about/offices/list/oig/auditreports/fy2012/a02l0002.pdf

Charter Schools: Additional Federal Attention Needed to Help Protect Access for Students with Disabilities (GAO)

Charter Schools: Guidance Needed for Military Base Schools on Startup and Operational Issues (GAO)

Education Needs to Further Examine Data Collection on English Language Learners in Charter Schools
OII’S OVERSIGHT AND MONITORING OF THE CSP’S PLANNING AND IMPLEMENTATION GRANTS (OIG, 2012)

- Sub-grantee Monitoring
- Charter School Closure
- Authorizer Monitoring
- Upcoming: Fiscal Controls
CHARTER SCHOOLS: ADDITIONAL FEDERAL ATTENTION NEEDED TO HELP PROTECT ACCESS FOR STUDENTS WITH DISABILITIES

GAO, 2012

• May 2013 webinars:
  • Legal Rights of Children with Disabilities and Charter Schools
  • Digging into Charter Schools’ Special Education Enrollment Differences

• 2013 National Alliance for Public Charter Schools conference session on Students with Disabilities in Charter Schools with OSERS and OCR

• ED continues to work on updated guidance
CHARTER SCHOOLS: GUIDANCE NEEDED FOR MILITARY BASE SCHOOLS ON STARTUP AND OPERATIONAL ISSUES
GAO, 2012

• Tour of DC Charter Schools – May 2013
• Upcoming: DoDEA Guidance
• Upcoming: Resource Center Toolkit
EDUCATION NEEDS TO FURTHER EXAMINE DATA COLLECTION ON ENGLISH LANGUAGE LEARNERS IN CHARTER SCHOOLS
GAO, 2013

- ED is in the process of evaluating all datasets to learn more about non-reporting.
EXPECTATIONS FOR FUTURE AUDITS: 2014 AND BEYOND

• OIG: CMOs and EMOs
• OIG: Charter School Closure
• GAO: Updated English Learner Study
Regulation, Policy, and Guidance
NON-REGULATORY GUIDANCE

• Current Updates:
  • Weighted lotteries
  • Amendment request and approval required for changes
  • Webinar held Friday, January 31, 2014
## WAIVERS - REVIEW

<table>
<thead>
<tr>
<th>Waive Section</th>
<th>Requirement to be waived</th>
<th>Nickname/Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>5202(c)(1)</td>
<td>Grant to SEAs cannot be more than 3 years</td>
<td>5 year waiver</td>
</tr>
<tr>
<td>5202(c)(2)(C)</td>
<td>Dissemination subgrants cannot be for more than two years</td>
<td>3 year dissemination for effective evaluation (still 2 year dissemination activity limit)</td>
</tr>
<tr>
<td>5202(d)(1)</td>
<td>Charter schools cannot receive more than one subgrant for planning and implementation</td>
<td>Substantial Expansion Waiver</td>
</tr>
<tr>
<td>5202(d)(1)</td>
<td>Charter schools cannot receive more than one subgrant for planning and implementation</td>
<td>2nd subgrant to finish activities (individual school – delay in opening)</td>
</tr>
<tr>
<td>5202(d)(2)</td>
<td>Charter schools cannot receive more than one dissemination subgrant</td>
<td>2nd dissemination subgrant (blanket waiver questions have been raised)</td>
</tr>
</tbody>
</table>
SCHOOL CLIMATE AND DISCIPLINE


• Representatives from OCR and OGC will be here to discuss during tomorrow’s round table dialogue.
FAQS TO ASSIST U.S. DEPARTMENT OF EDUCATION GRANTEES TO APPROPRIATELY USE FEDERAL FUNDS FOR CONFERENCES AND MEETINGS


• Use of Federal funds for food, beverages, or snacks requires a very high burden of proof to show that it is necessary.
OMB UNIFORM GUIDANCE

• “Super Circular”
• Published by OMB on Dec. 26, 2013.
• Will not apply to ED grants until adopted by ED through a Federal Register Notice.
  • Notice will be published in 2014, and will indicate a date on which the Guidance applies to ED grant funds.
• Full text is available at www.whitehouse.gov/omb/grants_docs.
• Recorded webcast and information at http://cfo.gov/cofar
Q & A